

#7

COPY OF PAPERS
ORIGINALLY FILED



CERTIFICATE OF MAILING
37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date below:

18 March 2002
Date

Richard L. Aubert
Signature
Res. 30,607

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Uresh K. Vahalia & Percy Tzelnic

Serial No.: 09/261,621

Filed: March 3, 1999

For: File Server System Providing Direct Data
Sharing Between Clients With A Server
Acting As An Arbiter and Coordinator

Group Art Unit: 2755

Examiner: Unknown

Atty. Dkt. No.: EMCR: 0321

RECEIVED

MAR 29 2002

Technology Center 2100

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

It is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

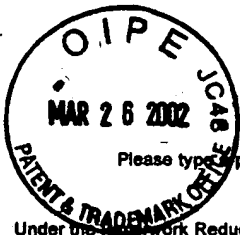
The present Supplemental Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Arnold White & Durkee Deposit Account No. 01-2508/Order No. EMCR:039.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



Richard C. Auchterlonie
Reg. No. 30,607
ARNOLD WHITE & DURKEE
P.O. Box 4433
Houston, Texas 77210
(713) 787-1400

COPY OF PAPERS
ORIGINALLY FILEDPlease type (+) inside this box → ☐PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/261,621
Filing Date	March 3, 1999
First Named Inventor	Uresh K. Vahalia
Group Art Unit	2755
Examiner Name	Unknown
Attorney Docket Number	EMCR;039/AUC

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Supplemental Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter

☒ Other Enclosure(s) (please identify below):
Return Receipt-Postcard
Form PTO-1449 (with enclosed
copies of 5 cited references)

Remarks

RECEIVED

MAR 29 2002

Technology Center 2100

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual nameRichard C. Auchterlonie, Esq., Reg. No. 30,607
HOWREY SIMON ARNOLD & WHITE, LLP

Signature

Date

March 18, 2002

CERTIFICATE OF MAILINGI hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: March 18, 2002

Typed or printed name

Richard C. Auchterlonie, Esq.

Signature

Date

March 18, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.